FORM PT	O-1390 U.S. DEPA	RTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NUMBER					
		PAT-01147 U.S. APPLICATION NO (IF KNOWN SEE 37 RR 15)						
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371								
	NATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED					
	P2004/007636	(10.07.2004) 10 July 2004 LY HARDENING, TRANSPARENT COAT	(02.08.2003) 02. August 2003					
9	RODUCTION THEREOF		ing 30831ANGE, IIIE 1110D 1 CK					
		Günter KLEIN, Ulrike RöCKRATH, Jürge	en NIEMANN, Ulrich POTH, Andre					
		and Alexandra SCHMELING older States Designated/Flected Office (DO/FO/L)	S) the following items and other information:					
1 X	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1							
2.		EQUENT submission of items concerning a filing und	der 35 U.S.C. 371					
3 X		ů						
	X This express request to begin national examination procedures (35 U S C 371(f)) The submission must include ltems (5) (6) (9) and (21) Indicated below							
4 X	The US has been elected (Ar	ticle 31)						
5 X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
	a are transmitted herewith (required only if not transmittal by the International Bureau)							
	b x have been com	municated by the International Bureau						
	c is not required	as the application was filed in the United States Rec	ceiving Office (RO/US).					
6 X	An English language translati	on of the International Application as filed (35 U.S C	371(c)(2))					
	a x Is attached f	ereto.						
	b Has been pr	eviously submitted under 35 U S.C 154(d)(4).						
7 X	Amendment to the claims of t	he International Application under PCT Article 19 (3	5 U \$.C.37 (c)(3))					
	a are attached here	eto (required only if not communicated by the Interna	ational Bureau)					
	b have been communicated by the International Bureau							
	c have not been made; however the time limit for making such amendments has NOT expired							
	d. x have not been m	ade and will not be made						
8.	An English language translation	on of the amendments to the claims under PCT Artic	cle 19 (35 U.S.C. 371(c)(3))					
9 🔲	An oath or declaration of the i	nventor(s) (35 U.S.C. 371(c)(4))						
10 🔲	An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36							
Items 11	(35 U S.C 371(c)(5)). Items 11. to 20. below concern document(s) or information included:							
11 X	An Information Disclosure Sta	tement under 37 CFR 1 97 and 1 98						
12 🔲	An assignment document for i	ecording A separate cover sheet in compliance wit	h 37 CFR 3 28 and 3 31 is included.					
13 X	A preliminary amendment.							
14 X	An Application Data Sheet und	der 37 CFR 1 76						
15	A substitute specification	•						
16	A change of power of attorney	and/or address letter						
17	A computer-readable form of t	he sequence listing in accordance with PCT Rule 13	Ster 2 and 35 U.S.C. 1 821 – 1 825.					
18	A second copy of the publishe	d international application under 35 U S C 154(d)(4))					
19.	A second copy of the English I	anguage translation of the international application ι	under 35 U S C 154(d)(4)					
20 X	Other items or information: A	opies of the cover sheet from the PCT Published	d Application, and the cover sheet from the					
	Priority Document I hereby certify that the attached correspondence is being submited by EFS-Web as a eFiled Application, addresed to Box PCT, Commissioner for Patents, P O. BOX 1450. Alexandria, Virginia 22313-1450, on the date shown							

U.S APPLICATI 150	ON NO (If known see	ATTORNEY'S DOCKET NUMBER PAT-01147						
X The f	following fees are	CALCULATIONS PTO USE ONLY						
21 X Basic	o National Stage	\$						
	nination Fee (163	\$						
	ch Fee (37CFR 1 e USPTO as an	\$						
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			or declaration later	than months from	the			
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Independent		01-03 =		X \$ 50.00 \$ X \$ 200.00				
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	of \$130.00 for fu n the earliest clai	-16	1					
				TOTAL NATIONA		\$1,100.00		
Fee for recording accompanied by	g the enclosed a / an appropriate	issignment (cover sheet	37 CFR 1.21(h)) Ti (37 CFR 3.28, 3.31)	he assignment mu). \$40.00 per prop	st be erty +			
				AL FEES ENCL		\$1,100.00		
						Amount to be refunded:	\$	
						Charged	\$1,100.00	
a A check	in the amount of \$	tc	cover the above fees	is enclosed			·	
b X Please charge my Deposit Account No 23-3425 in the amount of \$1,100.00 to cover the above fees A triplicate copy of this sheet is enclosed								
c X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No 23-3425. A duplicate copy of this sheet is enclosed								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
	SEND ALL CORRESPONDENCE TO: (MINE) H. Sabourin							
BASF CORPORAT			SIGN	ATURE /	-74 40 21			
Patent Department 26701 Telegraph Road Southfield, Michigan 48034-2442 Name						_		
248) 948-2355 <u>33,772</u>						<u></u>		
Customer No. 269	766		REGI	STRATION NUMBE	.r.			

FORM PTO-1390 TRANSMITTAL LETTE	U.S. DEPARTI		ATTORNEY DOCKET NUMBER PAT-01147					
DESIGNATED/ELECTE CONCERNING A FILIN	•		U.S. APPLICATION NO (IF KNOWN SEE 37 RR 15)					
INTERNATIONAL APPLIC		INTERNATIONAL FILING DATE	i	PRIORITY DATE CLAIMED				
PCT/EP2004/007636		(10.07.2004) 10 July 2004		(02.08.2003) 02. August 2003				
	TITLE OF INVENTION: THERMALLY HARDENING, TRANSPARENT COATING SUBSTANCE, METHOD FOR THE PRODUCTION THEREOF AND ITS USE							
APPLICATION(S) FOR DO/EO/US: Günter KLEIN, Ulrike RÖCKRATH, Jürgen NIEMANN, Ulrich POTH, Andre								
	BROSSEIT, Karin WERMELT, and Alexandra SCHMELING Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
l								
2 This is a SECON	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U S C 371							
	X This express request to begin national examination procedures (35 U S C 371(f)) The submission must include Items (5), (6), (9) and (21) indicated below							
4 X The US has been elected (Article 31)								
5. X A copy of the Inte	ernational Appli	cation as filed (35 U S C. 371(c)(2))						
a. are	transmitted he	rewith (required only if not transmittal by	the Interna	ational Bureau)				
b x hav	e been commu	inicated by the International Bureau.						
cisn	ot required, as	the application was filed in the United St	tates Recei	iving Office (RO/US)				
6 X An English langua	age translation	of the International Application as filed (3	35 U.S.C. 3	371(c)(2))				
a. x l	s attached her	eto						
		ously submitted under 35 U S C 154(d)(4						
7 X Amendment to the	e claims of the	International Application under PCT Artic	icle 19 (35 l	U S C 37 (c)(3))				
a are a	ttached hereto	(required only if not communicated by the	he Internatio	ional Bureau).				
b have	been commun	icated by the International Bureau.						
c have	not been made	e; however the time limit for making such	h amendme	ents has NOT expired				
d. x have	not been made	e and will not be made						
8 An English langua	ige translation	of the amendments to the claims under F	PCT Article	e 19 (35 U.S.C. 371(c)(3)).				
9. An oath or declara	ation of the inve	entor(s) (35 U S C. 371(c)(4))						
(35 U S C 37	An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U S C 371(c)(5))							
_		it(s) or information included:						
11 X An Information Dis	sclosure Staten	nent under 37 CFR 1 97 and 1 98.						
12 An assignment doc	cument for rec	ording A separate cover sheet in compl	liance with	37 CFR 3 28 and 3 31 is included.				
13. X A preliminary amer	•							
14 X An Application Dat	ta Sheet under	37 CFR 1 76						
15 A substitute specifi				1				
16. A change of power	-							
<u> </u>		sequence listing in accordance with PCT		er 2 and 35 U.S.C. 1 821 – 1.825				
		iternational application under 35 U S C.						
	A second copy of the English language translation of the international application under 35 U S.C 154(d)(4).							
20 X Other items or infor		ies of the cover sheet from the PCT P ty Document	Published A	Application, and the cover sheet from the				
I hereby certify that the attached correspondence is being submited by EFS-Web as a eFiled Application, ddresed to Box PCT, Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450, on the date shown elow								
		Marjorie Ellis						

U.S APPLICAT	U.S. APPLICATION NO. (If known see 37 C.F.R. INTERNATIONAL APPLICATION NO PCT/EP2004/007636					ATTORNEY'S DOCKET NUMBER PAT-01147		
X The following fees aren submitted:						CALCULATIONS PTO USE ONLY		
21 X Basi	c National Stage	\$						
	mination Fee (163	\$						
23. X Search Fee (37CFR 1.445(a)(2) has been paid on the international application to the USPTO as an						\$		
All of			1, 22, AND 23 =	·····	\$500.00	\$1,000.00	1	
Addit			•	oner ever 100 pho	oto	\$		
(excl	uding sequence lis	sting or com	nd drawings filed in p puter program listing file tional 50 sheets of pa	ed in an electronic m	edium)	7		
Total Sheets	Extra Sheets	Number	of each additional a	50 or fraction	Rate	\$		
- 100=	/50=				x\$250			
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Total Claims		22-20 =		X \$ 50.		\$100.00		
Independent claims			:	X \$ 200.00				
	lent claims(s) (if a	applicable)	1	+ \$360.0	00			
			TOTAL OF A	BOVE CALCULA	TIONS =	\$1,100.00		
		-	e English translation y date (37 CFR 1.492		30			
				TOTAL NATIONA	L FEE =	\$1,100.00		
			(37 CFR 1.21(h)) T t (37 CFR 3.28, 3.31					
			TOT	AL FEES ENCL	SED =	\$1,100.00		
			No.			Amount to be refunded:	\$	
						Charged	\$1,100.00	
b. X Please o		Account No	to cover the above fees 23-3425 in the amounted.		ver the abo	ve fees		
X The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No 23-3425. A duplicate copy of this sheet is enclosed								
			WARNING: Information this form. Provide co	-			3	
			37 CFR 1.495 has not store the application t	o pending status	<i>'</i> ,	(37 CFR		
END ALL CORR	ESPONDENCE TO):	/4	une H. De	bour	en		
BASF CORPORAT Patent Departmen 16701 Telegraph F Bouthfield, Michig 1248) 948-2355	t Road			Gerry Sabourin		_		
ustomer No. 269	22			STRATION NUMBER	₹	_	į	